



10/11/01 U.S. PTO

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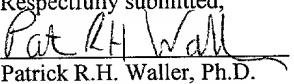
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	LEX-009DV
	First Named Inventor	Lo
	Title	Expression and Export of Interferon-Alpha Proteins as Fc Fusion Proteins

<u>APPLICATION ELEMENTS</u>		ADDRESS TO:	10/11/01 J1050 US PTO 109/977034
1. <input checked="" type="checkbox"/> Fee Transmittal Form 2. <input type="checkbox"/> Small Entity Status <input type="checkbox"/> Applicant claims small entity status <input type="checkbox"/> Status established in prior application and is still proper and desired		<u>ACCOMPANYING APPLICATION PARTS</u>	
3. <input checked="" type="checkbox"/> Specification and Drawings [Total Pages 65] - Written Description - (35 pages) - Claims - (3 pages) - Abstract - (1 page) - Sheets of Drawings - (3 sheets) <input checked="" type="checkbox"/> Informal - Sequence listing - (23 sheets)		8. <input type="checkbox"/> 37 CFR 3.73(b) Statement ( <i>when there is an assignee</i> ) <input type="checkbox"/> Power of Attorney	
		9. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )	
		10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations	
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] a. <input type="checkbox"/> Newly executed (original) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))		11. <input checked="" type="checkbox"/> Preliminary Amendment (8 pages) <input type="checkbox"/> Drawings [Total Sheets ]	
<i>(for continuation/divisional with Box 17 completed)</i> <i>[Note Box 5 below]</i>		12. <input checked="" type="checkbox"/> Return Receipt Postcard ( <i>specifically itemized</i> )	
5. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		13. <input type="checkbox"/> Certified Copy of Priority Document(s) ( <i>if foreign priority claimed</i> )	
		14. <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)	
6. <input checked="" type="checkbox"/> Application Data Sheet (2 pages)		15. <input type="checkbox"/> CD in duplicate for large table or computer program	
7. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission Copy from prior application (23 pages) <input checked="" type="checkbox"/> Statement for Transfer of Computer Readable Form from co-pending application (1 page) <input type="checkbox"/> Paper Copy (identical to computer copy) <input type="checkbox"/> CD (2 copies) (identical to computer copy) <input checked="" type="checkbox"/> Statement verifying identity of paper and Computer Readable Form (1 page)		16. <input type="checkbox"/> Other:	
17. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION: Amend the specification by inserting on page 1, before the first line, the sentence: --This is a <input type="checkbox"/> continuation <input checked="" type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of prior application Serial No. 09/575,503, filed on, May 19, 2000, which claims priority to U.S. Provisional Application Serial No. 60/134,895, filed on May 19, 1999, the entire disclosures of which are incorporated by reference herein.-- <b>Priority to the above application(s) is claimed under 35 U.S.C. 120.</b> Prior application information: Examiner: Dong Jiang, Group/Art Unit: 1646.			
18. <input type="checkbox"/> Priority - 35 U.S.C. 119 <input type="checkbox"/> Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119. <input type="checkbox"/> The certified copy has been filed in prior U.S. application Serial No. _____ / _____ on _____. <input type="checkbox"/> The certified copy will follow.		<b>CORRESPONDENCE ADDRESS</b>	
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100 Customer No. 021323		<b>SIGNATURE BLOCK</b>	
		Respectfully submitted,  Patrick R. H. Waller, Ph.D. Agent for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110	

**FEE TRANSMITTAL  
FY 2002**

<i>Complete if Known</i>	
Application Serial Number	Not Yet Assigned
Filing Date	October 11, 2001
First Named Inventor	Lo
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	LEX-009DV

<b>METHOD OF PAYMENT</b>		<b>FEES CALCULATION (continued)</b>																																																																																	
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>Request for ex parte reexamination</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>400</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>920</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1440</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1960</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>100</td><td>100</td><td>Certificate of Correction for applicant's error</td><td></td></tr> <tr> <td colspan="2"></td> <td>Other fee (Specify)</td> <td></td> </tr> <tr> <td colspan="2"></td> <td>Other fee (Specify)</td> <td></td> </tr> </tbody> </table>		Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	Request for ex parte reexamination		110	55	Extension for reply within first month		400	200	Extension for reply within second month		920	460	Extension for reply within third month		1440	720	Extension for reply within fourth month		1960	980	Extension for reply within fifth month		320	160	Notice of Appeal		320	160	Filing a brief in support of an appeal		280	140	Request for oral hearing		130	130	Petitions to the Commissioner		180	180	Submission of Information Disclosure Statement		740	370	Filing a submission after final rejection (37 CFR 1.129(a))		740	370	For each additional invention to be examined (37 CFR 1.129(b))		100	100	Certificate of Correction for applicant's error				Other fee (Specify)				Other fee (Specify)	
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**Express Mail Label No.: EL816677578US**

**PATENT**

Atty. Docket No. LEX-009DV

(4006/20)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Lo et al.

SERIAL NUMBER: Not Yet Assigned  
(Divisional of U.S.S.N. 09/575,503)

GROUP NUMBER: Not Yet Assigned

FILING DATE: October 11, 2001

EXAMINER: Not Yet Assigned

TITLE: Expression and Export of Interferon – Alpha Proteins as Fc Fusion Proteins

**REQUEST TO USE COMPUTER READABLE FORM OF SEQUENCE LISTING FROM  
ANOTHER APPLICATION**

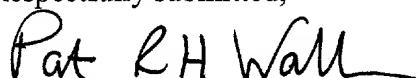
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants hereby request that the computer readable copy of the sequence listing be transferred from another application.

Applicants hereby state that the computer readable form of sequence listing in the instant divisional application is identical with that filed in the co-pending parent U.S. Application Serial Number 09/575,503, filed on May 19, 2000. In accordance with 37 CFR 1.821 (e), please use the computer readable form of sequence listing filed in United States Serial Number 09/575,503, for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in the application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is included in the originally filed specification of the instant application.

Respectfully submitted,



Patrick R.H. Waller, Ph.D.

Agent for the Applicants

Testa, Hurwitz & Thibeault, LLP

High Street Tower

125 High Street

Boston, Massachusetts 02110

Date: October 11, 2001

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